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Date: August 19, 2002

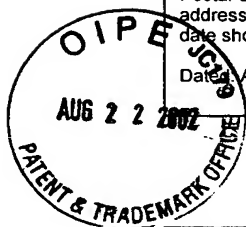
Signature: *Joy H. Perigo*

(Job title/Period)

Docket No.:

060901/P007US/10103166

(PATENT)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Alexis Mendez, et al.

Application No.: 10/062,577

Group Art Unit: 2874

Filed: January 30, 2002

Examiner: Not Yet Assigned

For: OPTICAL FIBER BRAGG GRATING
TUNING DEVICE

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents
Washington, DC 20231

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OFFICE OF PETITIONS

Dear Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action on the merits as far as is known to the undersigned.

A copy of each reference on PTO/SB/08 is attached.

A concise explanation of relevance of the items listed on form PTO/SB/08 is:

☒ not given

☐ given for each listed item

☐ given for only non-English language listed items

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[] in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2380, under Order No. 60901/P007US/10103166.

Dated: August 19, 2002

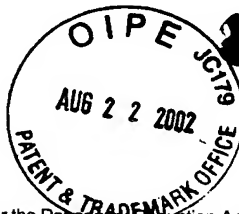
Respectfully submitted,

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PTO/SB/08A (10-01)

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|-----------------------------------------------------------------------------------------------------------------------------------------------|---|----|---|--------------------------|-----------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | Complete if Known | |
| | | | | Application Number | 10/062,577 |
| | | | | Filing Date | January 30, 2002 |
| | | | | First Named Inventor | Alexis Mendez |
| | | | | Art Unit | 2874 |
| | | | | Examiner Name | Not Yet Assigned |
| Sheet | 1 | of | 1 | Attorney Docket Number | 60901/P007US/10103166 |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|--------------------------|------------------------------------------|--------------------------------|----------------------------------------------------|------------------------------------------------------------------------------------|
| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code ² (if known) | | | |
| | AA | 5,007,705- | 04-16-1991 | Morey et al. | |
| | AB | 5,999,671- | 12-07-1999 | Jin et al. | |
| | AC | 6,055,348- | 04-25-2000 | Jin et al. | |
| | AD | 60,266,683- | | Mendez et al. | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------------------|-----------------------------------------------------------------------------------|--------------------------------|----------------------------------------------------|------------------------------------------------------------------------------------|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
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| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS | | | |
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| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
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|-----------------------|--------------------|
| Examiner Signature | Date Considered |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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